UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK

ROGER EMERSON, MARY EMERSON, ROBERT CAPLIN and MARTHA J. GOODLETT, Individually and on Behalf of All Others Similarly Situated,

Plaintiffs,

VS.

MUTUAL FUND SERIES TRUST,
CATALYST CAPITAL ADVISORS LLC,
NORTHERN LIGHTS DISTRIBUTORS LLC,
JERRY SZILAGYI, TOBIAS CALDWELL,
TIBERIU WEISZ, BERT PARISER and ERIK
NAVILOFF,

Defendants.

Civil Action No. 2:17-cv-02565-SJF-SIL

CLASS ACTION

NOTICE OF MOTION AND LEAD PLAINTIFFS' MOTION FOR FINAL APPROVAL OF SETTLEMENT AND APPROVAL OF PLAN OF ALLOCATION TO: ALL PARTIES AND THEIR ATTORNEYS OF RECORD

PLEASE TAKE NOTICE that on September 9, 2020, at 11:00 a.m., at the United States

District Court for the Eastern District of New York, 100 Federal Plaza, Central Islip, New York, or

as soon thereafter as counsel may be heard, before the Honorable Sandra J. Feuerstein, United States

District Judge, Lead Plaintiffs Eugene Almendinger, Jeffrey Berkowitz, Debra Folk, Earle Folk,

Maryann Lovelidge and Tom Lovelidge ("Lead Plaintiffs") will and hereby move for orders and/or

judgments finally approving the settlement of this class action and dismissing the litigation with

prejudice and approving the proposed Plan of Allocation for the proceeds of the Settlement. Lead

Plaintiffs' motion is based upon Lead Plaintiffs' Memorandum of Law in Support of Motion for

Final Approval of Settlement and Approval of Plan of Allocation, the declarations submitted in

support thereof, the Stipulation and Agreement of Settlement dated March 5, 2020, all other

pleadings and matters of record, and such additional evidence or argument as may be presented in

Lead Plaintiffs' motion or at the hearing on Lead Plaintiffs' motion.

Proposed orders will be submitted on or before August 27, 2020 with Lead Plaintiffs' reply

papers, after the deadlines for submission of objections and requests for exclusion from the

Settlement Class have passed.

DATED: July 30, 2020

Respectfully submitted,

ROBBINS GELLER RUDMAN & DOWD LLP

SAMUEL H. RUDMAN

EVAN J. KAUFMAN

s/ Evan J. Kaufman

EVAN J. KAUFMAN

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Additional Counsel for Plaintiffs

CERTIFICATE OF SERVICE

I hereby certify that on July 30, 2020, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system, which will send notification of such filing to all registered ECF participants.

s/ Evan J. Kaufman

EVAN J. KAUFMAN